

# A Game-Theoretic Perspective on Oblivious Transfer

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# Cryptography and Game Theory

- **Cryptography:**

Design protocols in the presence of adversaries

- **Game theory:**

Study the behavior of rational players

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- **Cryptography:**

Design protocols in the presence of adversaries

- **Game theory:**

Study the behavior of rational players



- **Rational cryptography:**

Design cryptographic protocols for rational players

- Rational Secret Sharing [HT04, GK06, ADG<sup>+</sup>06, KN08a, KN08b, MS09, OPRV09, FKN10, AL11]

# Asharov, Canetti, Hazay (Eurocrypt 2011)

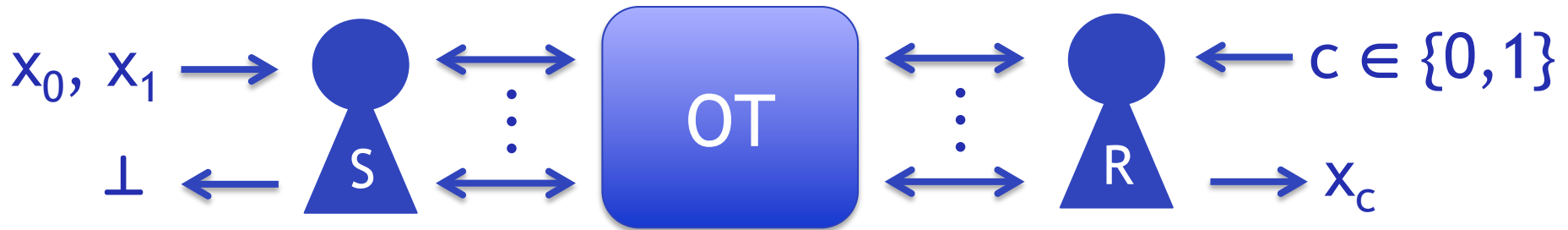
- Game-theoretically characterize properties of two-party protocols
  - Protocol  $\pi$  satisfies a “certain” property
    - ↔ A “certain” game defined by  $\pi$  has a “certain” solution concept with “certain” utility functions
      - Properties: Correctness, Privacy, Fairness
      - Adversary model: Fail-stop adversaries
    - Equivalent defs. for correctness and privacy
    - New def. for fairness

# This work

- Game-theoretically characterize properties of “two-message” Oblivious Transfer (OT)
- Advantages compared to [ACH11]
  1. Game between **two** rational players
    - Essentially played by a single player in [ACH11]
  2. Characterize correctness and privacy by **a single game**
  3. **Malicious** adversaries

# Oblivious Transfer

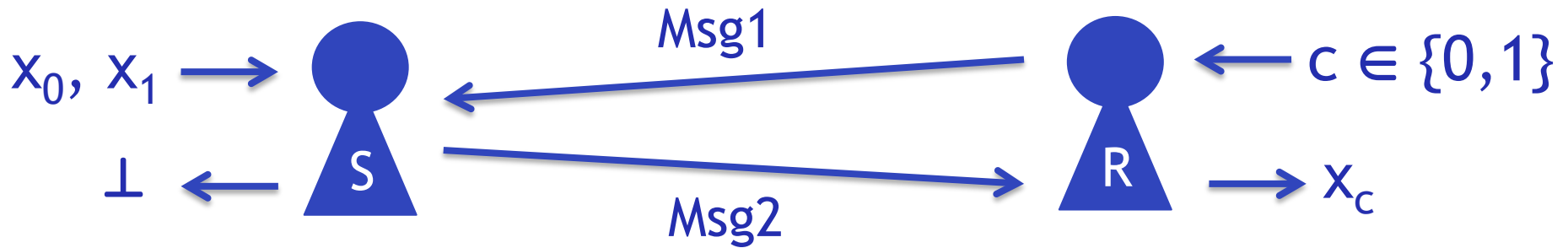
- A protocol between sender S and receiver R



- Correctness: After running the protocol, R obtains  $x_c$  and S obtains nothing (or  $\perp$ )
- Privacy
  - Privacy for S: R learns nothing about  $x_{1-c}$
  - Privacy for R: S learns nothing about  $c$

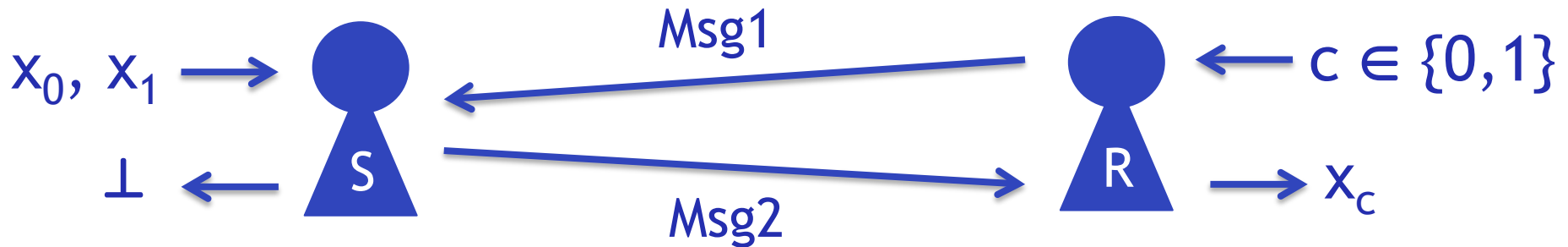
# Why “two-message” OT ?

## Two-message OT



# Why “two-message” OT ?

## Two-message OT



- IND based privacy fits for GT framework
  - Utility is high  $\Leftrightarrow$  Prediction is correct
- Exit IND based privacy for two-message OT



# Our results

- Protocol  $\pi$  for two-message OT satisfies “correctness” and “privacy”
- ⇔ A “certain” game defined by  $\pi$  has a “certain” solution concept with “certain” utility functions

# Our results

- Protocol  $\pi$  for two-message OT satisfies “correctness” and “privacy”
  - ⇔ A “certain” game defined by  $\pi$  has a “certain” solution concept with “certain” utility functions
  - ⇔ A game  $\text{Game}^\pi$  defined by  $\pi$  has a Nash equilibrium with utility functions  $U = (U_S, U_R)$

# Cryptographic Correctness of OT

- Protocol  $\pi = (S, R)$

## Correctness

- $\forall x_0, x_1 \in \{0, 1\}^*$  s.t.  $|x_0| = |x_1|$ ,  $c \in \{0, 1\}$ ,  
 $\Pr[\text{output}_R(S(x_0, x_1), R(c)) = x_c] \geq 1 - \text{negl}$

# Cryptographic Privacy of two-message OT

## Privacy for R

- $\forall$  PPT  $S^*$  and  $x_0, x_1 \in \{0,1\}^*$ ,  
 $\{\text{view}_{S^*}(S^*(x_0, x_1), R(0))\} =_c \{\text{view}_{S^*}(S^*(x_0, x_1), R(1))\}$

## Privacy for S

- $\exists$  a function Choice:  $\{0,1\}^* \rightarrow \{0,1\}$  s.t.  
 $\forall$  determ. poly-time  $R^*$ ,  $x_0, x_1, x, z \in \{0,1\}^*$ ,  $c \in \{0,1\}$ ,  
 $\{\text{view}_{R^*}(S(X^0), R^*(c, z))\} =_c \{\text{view}_{R^*}(S(X^1), R^*(c, z))\}$   
where  $X^0 = (x_0, x_1)$ , and  
 $X^1 = (x_0, x)$  if  $\text{Choice}(R^*, c, z) = 0$ ,  $X^1 = (x, x_1)$  otherwise
- Choice indicates the choice bit of  $R^*$

# Game $\pi$

- Protocol:  $\pi = (S^\pi, R^\pi)$ ,  
Input:  $x_0, x_1, x, z \in \{0, 1\}^*$ ,  $c \in \{0, 1\}$   
Players: Sender  $(S, G_S)$ , Receiver  $(R, G_R)$
- Game $\pi((S, G_S), (R, G_R), \text{Choice}, x_0, x_1, x, c, z_S, z_R)$ :
  1.  $X^0 = (x_0, x_1)$ ,  
 $X^1 = (x_0, x)$  if  $\text{Choice}(R, c, z) = 0$ ,  $X^1 = (x, x_1)$  o.w.
  2.  $b \leftarrow_R \{0, 1\}$  and set  $z$  to be empty if  $R = R^\pi$
  3. Execute  $(S(X^b), R(c, z))$  ( $\rightarrow \text{output}_R$ )  
Set  $\text{fin} = 1 \Leftrightarrow$  Protocol finished without abort
  4.  $G_S$  guesses  $c$  from  $\text{view}_S$  ( $\rightarrow \text{guess}_S$ )  
 $G_R$  guesses  $b$  from  $\text{view}_R$  ( $\rightarrow \text{guess}_R$ )
  5. Output  $(\text{fin}, \text{output}_R, \text{guess}_S, \text{guess}_R)$

# Utility functions $U = (U_S, U_R)$

- $U_S((S, G_S), (R, G_R))$   
=  $(-\alpha_S) \cdot (\Pr[\text{guess}_R = b] - 1/2)$   
+  $\beta_S \cdot (\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R = x_c)] - 1)$   
+  $\gamma_S \cdot (\Pr[\text{guess}_S = c] - 1/2)$ 
  - $\alpha_S, \beta_S, \gamma_S$  are some positive constants
  - $U_S$  is low if  $G_R$ 's guess is correct  
or finish w/o abort and output is incorrect  
or  $G_S$ 's guess is incorrect
  
- $U_R((S, G_S), (R, G_R))$   
=  $(-\alpha_R) \cdot (\Pr[\text{guess}_S = c] - 1/2)$   
+  $\beta_R \cdot (\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R = x_c)] - 1)$   
+  $\gamma_R \cdot (\Pr[\text{guess}_R = b] - 1/2)$

# Nash equilibrium

- Protocol  $(S, R)$  is a **Nash equilibrium** for Game $^\pi$



$\exists$  Choice s.t.  $\forall$  PPT  $G_S, G_R, S^*,$  (determ.)  $R^*,$   
 $\forall x_0, x_1, x, z \in \{0, 1\}^*, c \in \{0, 1\},$

$$U_S((S^*, G_S), (R, G_R)) \leq U_S((S, G_S), (R, G_R)) + \text{negl}$$

and

$$U_R((S, G_S), (R^*, G_R)) \leq U_R((S, G_S), (R, G_R)) + \text{negl}$$

# Game-theoretic characterization

## ■ Main Theorem:

Protocol  $\pi = (S^\pi, R^\pi)$  for two-message OT satisfies cryptographic **correctness** and **privacy**

if and only if

$\pi = (S^\pi, R^\pi)$  is a **Nash equilibrium** for **Game $^\pi$**  with utility functions  $U = (U_S, U_R)$



# Proof (“Crypto $\rightarrow$ Game”)

Assume  $\pi$  is not game-theoretically secure

$\Leftrightarrow \pi = (S^\pi, R^\pi)$  is not **NE** for **Game $^\pi$**

$\Leftrightarrow \forall$  Choice,  $\exists G_S^*, G_R^*, S^*, R^*, x_0, x_1, x, z, c$  s.t.

- Case 1:

$$U_S((S^*, G_S), (R^\pi, G_R)) > U_S((S^\pi, G_S), (R^\pi, G_R)) + \epsilon_S$$

or

- Case 2:

$$U_R((S^\pi, G_S), (R^*, G_R)) > U_R((S^\pi, G_S), (R^\pi, G_R)) + \epsilon_R$$

# Proof (“Crypto $\rightarrow$ Game”)

## ■ Case 1:

$$U_S((S^*, G_S), (R^\pi, G_R)) > U_S((S^\pi, G_S), (R^\pi, G_R)) + \epsilon_S$$

### ● Recall that

$$\begin{aligned} & U_S((S^\pi, G_S), (R^\pi, G_R)) \\ &= (-\alpha_S) \cdot (\Pr[\text{guess}_R = b] - 1/2) \\ &\quad + \beta_S \cdot (\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R = x_c)] - 1) \\ &\quad + \gamma_S \cdot (\Pr[\text{guess}_S = c] - 1/2) \end{aligned}$$

## ■ When $S^\pi \rightarrow S^*$

Case 1-a:  $\Pr[\text{guess}_R = b]$  is lower

Case 1-b:  $\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R = x_c)]$  is higher

Case 1-c:  $\Pr[\text{guess}_S = c]$  is higher

# Proof (“Crypto $\rightarrow$ Game”)

- Case 1-a:  $\Pr[\text{guess}_R = b]$  is lower
  - $\rightarrow$  Since  $\Pr[\text{guess}_R = b] \leq 1/2 + \text{negl}$  when  $S^*$ ,  
( $R^\pi$ ,  $G_R$ ) breaks the privacy for  $S$
- Case 1-b:  $\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R=x_c)]$  is higher
  - $\rightarrow \Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R=x_c)] < 1 - \epsilon$  when  $S^\pi$
  - $\rightarrow$  Not cryptographically correct
- Case 1-c:  $\Pr[\text{guess}_S = c]$  is higher
  - $\rightarrow \Pr[\text{guess}_S = c] \neq 1/2 \pm \text{negl}$  when  $S^*$
  - $\rightarrow (S^*, G_S)$  breaks the privacy for  $R$

# Proof (“Game $\rightarrow$ Crypto”)

Assume  $\pi$  is not cryptographically secure



- Case 1: Not cryptographically correct
- Case 2: Cryptographically correct
  - Case 2-a: Not private for S when  $R^\pi$
  - Case 2-b: Private for S when  $R^\pi$ , not private for R
  - Case 2-c: Private for R, not private for S when  $R^*$

# Proof (“Game $\rightarrow$ Crypto”)

## ■ Case 1: Not cryptographically correct

$\rightarrow \exists x_0, x_1, c$  s.t.  $\Pr[\text{output}_R = x_c] < 1 - \epsilon_1$

$\rightarrow U_S((S^\pi, G_S), (R^\pi, G_R)) < -\beta_S \cdot \epsilon_1$   
 $U_S((S^{\text{def}}, G_S), (R^\pi, G_R)) = 0$

$\rightarrow U_S$  is higher when  $S^\pi \rightarrow S^{\text{def}}$

- $S^{\text{def}}$ : Abort before start
- $\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R=x_c)]$  is higher when  $S^\pi \rightarrow S^{\text{def}}$

# Proof (“Game $\rightarrow$ Crypto”)

- Case 2: Cryptographically correct
  - Case 2-a: Not private for  $S$  when  $R^\pi$ 
    - $\rightarrow \exists D_1$  who distinguishes  $\text{view}_{R^\pi}$
    - $\rightarrow U_S((S^\pi, G_S), (R^\pi, G_R)) < - \alpha_S \cdot \epsilon_2$   
 $U_S((S^{\text{stop}}, G_S), (R^\pi, G_R)) = 0$  (when  $G_R$  uses  $D_1$ )
    - $\rightarrow U_S$  is higher when  $S^\pi \rightarrow S^{\text{stop}}$ 
      - $S^{\text{stop}}$ : Abort after receiving a message
      - $\Pr[\text{guess}_R = b]$  is higher when  $S^\pi \rightarrow S^{\text{stop}}$

# Proof (“Game $\rightarrow$ Crypto”)

- Case 2: Cryptographically correct
  - Case 2-b: Private for  $S$  when  $R^\pi$ , not for  $R$ 
    - $\rightarrow \exists S^*$  and  $D_2$  who distinguishes  $\text{view}_{S^*}$
    - $\rightarrow \exists D_2$  who distinguishes  $\text{view}_{S^\pi}$   
(since two-message OT)
    - $\rightarrow U_R((S^\pi, G_S), (R^\pi, G_R)) < - \alpha_R \cdot \epsilon_3$   
 $U_R((S^\pi, G_S), (R^{\text{def}}, G_R)) = 0$  (when  $G_S$  uses  $D_2$ )
      - $R^{\text{def}}$ : Abort before start
      - $\Pr[\text{guess}_S = c]$  is higher when  $R^\pi \rightarrow R^{\text{def}}$

# Proof (“Game $\rightarrow$ Crypto”)

- Case 2: Cryptographically correct
  - Case 2-c: Private for R, not for S when  $R^*$ 
    - $\rightarrow \exists R^*$  and  $D_3$  who distinguishes  $\text{view}_{R^*}$
    - $\rightarrow U_R((S^\pi, G_S), (R^\pi, G_R)) < \text{negl}$   
 $U_R((S^\pi, G_S), (R^*, G_R)) = \gamma_R \cdot \epsilon_4$  (when  $G_R$  uses  $D_3$ )
    - $\rightarrow U_R$  is higher when  $R^\pi \rightarrow R^*$ 
      - $\Pr[\text{guess}_R = b]$  is higher when  $R^\pi \rightarrow R^*$



# Notes

- Main theorem holds even if  $\gamma_S = 0$  or  $\beta_R = 0$ 
  - $U_S((S, G_S), (R, G_R))$ 
$$= (-\alpha_S) \cdot (\Pr[\text{guess}_R = b] - 1/2)$$
$$+ \beta_S \cdot (\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R = x_c)] - 1)$$
$$+ \gamma_S \cdot (\Pr[\text{guess}_S = c] - 1/2)$$
  - $U_R((S, G_S), (R, G_R))$ 
$$= (-\alpha_R) \cdot (\Pr[\text{guess}_S = c] - 1/2)$$
$$+ \beta_R \cdot (\Pr[\text{fin}=0 \vee (\text{fin}=1 \wedge \text{output}_R = x_c)] - 1)$$
$$+ \gamma_R \cdot (\Pr[\text{guess}_R = b] - 1/2)$$

# Conclusions (1/2)

- Game-theoretically characterize “two-message” OT

Protocol  $\pi = (S^\pi, R^\pi)$  for two-message OT satisfies cryptographic **correctness** and **privacy**

$\Leftrightarrow \pi = (S^\pi, R^\pi)$  is a **Nash equilibrium** for **Game $^\pi$**  with utility functions  $U = (U_S, U_R)$

- Advantages compared to [ACH ‘11]

1. Game between **two** rational players
2. Characterize correctness and privacy by **a single game**
3. **Malicious** adversaries

## Conclusions (2/2)

- The first step toward understanding how OT protocols work for rational players
- Future work
  - Characterize OT with the ideal/real simulation-based security
  - Characterize other protocols
  - Explore good examples of rational cryptography